



Anaesthesia recommendations for patients suffering from

Goldenhar syndrome

Disease name: Goldenhar syndrome

ICD 10: Q87.0

Synonyms: Oculo-auriculo-vertebral (OAV) syndrome/sequence, facio-auriculo-vertebral syndrome, Goldenhar-Gorlin syndrome

In 1952, Maurice Goldenhar published a case collection of congenital mandibulo-facial malformations with or without epibulbar dermoids, auricular appendages and auricular fistulas. With the attempt to systematically classify these malformations, he described for the first time what later became known as the Goldenhar syndrome.

Goldenhar syndrome is a variant of the oculo-auriculo-vertebral spectrum. It consists of haemifacial microsomia (HFM), epibulbar dermoids and vertebral anomalies. Major manifestations of HFM are orbital distortion, mandibular hypoplasia, ear anomalies, nerve involvement and soft tissue deficiency (OMENS classification). In addition, patients with Goldenhar syndrome can present with heart, kidney and lung malformations as well as limb deformities. Depending on the organs affected and the severity of the malformations, the phenotype is highly variable (Table).

The exact cause of Goldenhar syndrome is unknown but considered to be multifactorial, i.e. a combination of gene interactions and environmental factors that causes a maldevelopment of the first and second branchial arches during the first trimester of pregnancy. Males are affected more often than females (3:2). About 10-30% of patients have bilateral, usually asymmetric facial microsomia. There is no agreement on the incidence of Goldenhar syndrome in the literature. Reports vary between 1:3,000 – 5,000 and 1:25,000 – 40,000.

Medicine in progress



Perhaps new knowledge

Every patient is unique

Perhaps the diagnostic is wrong

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Typical surgery

- Craniofacial surgery
- Orodental surgery
- Ear reconstruction
- Excision of periauricular skin tags
- Surgery for conductive or sensorineural hearing loss
- Ophthalmologic surgery
- Spine surgery

Type of anaesthesia

General anaesthesia might be the only feasible anaesthetic regimen given the nature of the most common surgical interventions and the young age.

Neuroaxial anaesthesia techniques might be difficult or contraindicated depending on individual spine malformations (hemivertebrae, block vertebrae, spina bifida).

Regional anaesthesia or local anaesthesia can be considered if the surgical procedure allows it. A recent case report described the successful use of an axillary nerve block for placement of a peripherally inserted central catheter (PICC) in a 2-month-old girl with severe Goldenhar syndrome. However, intraoperative intubation carries significant morbidity in a patient with a difficult airway compared to an electively scheduled difficult airway management.

Sedation and premedication should only be done after very careful evaluation of the individual risk of airway obstruction, difficult ventilation, and difficult intubation.

Necessary additional diagnostic procedures (preoperative)

Congenital heart defects have been reported in 5-58% of patients with Goldenhar syndrome. Septal defects and conotruncal malformations such as tetralogy of Fallot are the most commonly seen congenital cardiac malformations in this population. Situs inversus and looping defects are also reported. All patients with Goldenhar syndrome should undergo one-time cardiac evaluation. Based on these results and a careful preoperative clinical evaluation, additional cardiac function testing might be indicated before surgery.

Pulmonary function can be compromised by partial or complete unilateral lung hypoplasia. Pulmonary hypoplasia might increase the risk for respiratory infections, pulmonary hypertension and pneumothorax. In addition, severe spinal deformities might cause restrictive lung disease and further decrease pulmonary function. One-time pulmonary evaluation for possible malformations is, therefore, necessary. A careful preoperative clinical



evaluation of respiratory function is recommended and in conjunction with possible pulmonary malformations further pulmonary function testing might be warranted.

One feature of Goldenhar syndrome is vertebral anomalies. Failure of vertebral segmentation of the cervical spine such as occipitalization and cervical vertebral fusion can be relevant for anaesthesia as it might aggravate an already difficult intubation. Radiologic evaluation of the cervical spine should be considered as well as complete spine imaging in case a neuroaxial technique is planned.

Nargozian et al. showed in a retrospective evaluation a significant association of the severity of the mandibular hypoplasia and the degree of difficulty with intubation. Based on these findings, imaging of the mandibular hypoplasia could be considered prior to surgery as part of the individual difficult airway algorithm. Case reports suggest that preoperative 3D computer tomography of the airway is helpful for difficult airway management.

Sleep-related upper and lower airway obstruction (obstructive sleep apnea: OSA) due to anatomical and functional factors are common in patients with major craniofacial anomalies. Clinical indicators such as snoring should be taken seriously as they might predict difficult mask ventilation as well as airway obstruction upon emergence from anaesthesia. Further upper airway evaluation might be indicated preoperatively as anatomical anomalies such as adenoid or tonsillar hypertrophy might contribute to airway obstruction and can possibly be approached surgically.

Genitourinary malformations such as ectopic or fused kidneys, renal agenesis, ureteropelvic junction obstruction or vesicoureteral reflux might be associated with Goldenhar syndrome. Although the malformation might not necessarily impact renal function it might increase the risk for urinary tract infection (UTI). It might be helpful to be aware of possible kidney malformations prior to major surgery with expected large fluid shifts, prolonged urinary catheter placement and postoperative ICU admission.

Particular preparation for airway management

Anticipation of difficult airway management is of highest importance in patients with Goldenhar syndrome. In 1998, Sculerati reported a chart review of patients with major craniofacial bony anomalies that included 41 patients with the oculo-auriculo-vertebral sequence. Of those, 9 patients (22%) required a surgical airway perioperatively.

A detailed and relevant history and a preoperative airway examination are crucial. The exam should include assessment of mouth opening, size of the tongue, dental status, palate and mandibular shape, intraoral soft tissue masses such as adenoids or protruding tonsils, and neck range of motion.

Possible difficult mask ventilation, difficult laryngoscopy and difficult intubation should be anticipated and discussed in advance. An individually designed difficult airway algorithm should be communicated to all participating providers. Difficult airway equipment including supraglottic devices and a size appropriate fiberoptic device should be readily available. A video laryngoscope has been proven to be useful in some cases of difficult airway management. Equipment and expertise to secure the airway surgically should be in place.



Particular preparation for transfusion or administration of blood products	
No special considerations.	
Particular preparation for anticoagulation	
No special considerations.	
Particular precautions for positioning, transport or mobilisation	
No special considerations.	
Probable interaction between anaesthetic agents and patient's long term medication	
No special considerations.	
Anaesthesiologic procedure	
There is no evidence that any anaesthetic regimen is safer than another. The administration of volatile anaesthetics with or without muscle relaxants as well as longer acting opioids should be carefully considered because of their potential to delay airway recovery. If postoperative pain is expected to be controlled without high levels of opioids, remifentanil-based anaesthesia may be a good choice. Complete reversal of muscle relaxation with sugammadex is recommended. Propofol is not known to cause much muscle relaxation; however, it suppresses cardiac output and contractility and may not be a good option in patients with cardiac disease.	
Particular or additional monitoring	
Cardiac and/or pulmonary morbidity may warrant placement of an arterial line.	
Possible complications	
Cannot intubate, cannot ventilate situation:	
The most important consideration for general anaesthesia in patients with Goldenka syndrome is difficult airway management. Factors that contribute to a difficult airway ar mandibular hypoplasia, cleft lip/palate and craniovertebral anomalies. The difficulty of intubation increases with age and bilateral mandibular hypoplasia.	
Sleep-related upper and lower airway obstruction (obstructive sleep apnea: OSA) due to anatomical and functional factors are common in patients with major craniofacial anomalies	

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Emergence from general anaesthesia can again be complicated by airway obstruction.

In combination with facial malformations, mask ventilation may be difficult.



Postoperative care

Obstructive sleep apnea in combination with volatile anaesthetics, muscle relaxants and opioids puts patients with Goldenhar syndrome at high risk for postoperative hypoxic events. Before tracheal extubation reversal of muscle relaxation and complete recovery of airway reflexes should be confirmed. Prolonged postoperative monitoring, especially during opioid administration for pain management, is strongly recommended. Postoperative recovery in the ICU may be indicated.

Information about emergency-like situations / Differential diagnostics

caused by the illness to give a tool to distinguish between a side effect of the anaesthetic procedure and a manifestation of the disease

Obstructive sleep apnea is common in patients with major craniofacial malformations. Anaesthetic agents and opioids likely aggravate airway obstruction in the early postoperative period.

A recent publication of two case reports suggested that congenital malformations of the middle ear and auditory canals can cause recurrent infections of the middle ear, sinusitis and meningitis. These conditions should be included in the differential diagnoses for perioperative fever and infection.

Ambulatory anaesthesia

Eligibility for ambulatory surgery strongly depends on the severity and localization of malformations. In any case, possible postoperative upper airway obstruction and the need for prolonged monitoring after general anaesthesia should be taken into account when evaluation for ambulatory anaesthesia.

Obstetrical anaesthesia

No special considerations.



Literature and internet links

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Amendment 1

Classic Goldenhar Triad:		
Hemifacial microsomia (HFM)	Orbital distortion	
OMENS criteria	Mandibular hypoplasia	
	Ear anomalies: • Microtia	
	Low set ears	
	Preauricular skin tags/pitsExternal auditory canal agenesis/stenosis	
	Middle ear malformations with conductive hearing loss	
	Nerve involvement: • Facial nerve palsy	
	Auditory nerve dysfunction with sensorineural hearing loss	
On hith along the site was all a second to a	Soft tissue deficiency [1]	
Ophthalmologic malformations	Ocular dermoidsEyelid coloboma	
	Microphthalmia	
Vertebral anomalies	Blepharoptosis [2-5] Caminal principal shifts:	
vertebrai anomalies	Cervical spine instabilitySpinal fusion	
	Hemivertebra with scoliosis	
	Spina bifida [6-10]	
Additional malformations associated with Goldenhar syndrome:		
Cardiovascular System	Atrial and ventricular septal defects	
	 Conotruncal defects Targeted growth defects 	
	Situs and looping defects	
	Obstructive lesion Patent ductus arteriosus [11-14]	
Respiratory System	Patent ductus arteriosus [11-14] Pulmonary hypoplasia/aplasia/agenesis	
, , ,	Costal agenesis	
	Tracheobronchial abnormalitiesLaryngomalacia	
	Tracheoesophageal fistula	
	Oesophageal atresia [11, 12, 15-21]	
Urinary System	Ectopic and/or fused kidneysVesicoureteral reflux	
	Ureteropelvic junction obstruction	
	Ureteral duplication	
	Renal agenesisMulticystic kidney [22-24]	
Nervous System	Mental retardation	
	 Neuropsychomotor delay Developmental delay due to hearing impairment [14, 25, 26] 	
Extremities	 Developmental delay due to hearing impairment [14, 25, 26] Thump and radius anomalies 	
	• Talipedes [10, 27]	
Orofacial	Dental abnormalities	
	Cleft lip/palateMacrostomia [9]	
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